FCC AUCTION SEMINAR REGISTRATION FORM

Auction No. 22, Broadband Personal Communications Services (PCS)

The FCC will sponsor a one day seminar for the PCS auction applicants. The seminar is free of charge and will provide information about pre-auction procedures, service and auction rules, conduct of the auction, and the FCC remote bidding software.

Space is limited. A maximum of two representatives from each company may attend on a reservation basis, first-come first-served until room capacity is filled. Additional seating may be available on a stand-by basis the day of the seminar. The seminar will be held:

Wednesday, February 3, 1999 Park Hyatt Washington 1201 24th Street, N.W. Washington, DC 20037

Registration 9 a.m. - 10 a.m. Seminar 10 a.m. - 4 p.m

To register, complete the form below and return no later than **Friday**, **January 29**, **1999**, by mail or fax to:

FCC Auction 22 Auctions Operations Branch 1270 Fairfield Road Gettysburg, PA 17325-7245

> FAX: 717-338-2850 Phone: 717-338-2888

ELECTRONIC FILING AND REVIEW OF THE FCC FORM 175

Applicants must submit their FCC Form 175 applications electronically using the Commission's remote access system. This system will generally be available 24 hours per day beginning January 25, 1999. FCC Form 175 applications must be submitted and confirmed by **5:30 p.m. ET on February 12, 1999.** Late applications or unconfirmed submissions of electronic data will not be accepted. Applicants must press the **Submit Form 175** button on the *Submit* page to successfully submit their FCC Form 175. The electronic filing process consists of an initial filing period and a resubmission period to make minor corrections. During each filing period, submitted applications may be updated and amended multiple times until the filing deadline.

Applicants will need to meet the following minimum hardware and software requirements:

Minimum Hardware Requirements

- CPU: Intel Pentium
- RAM: 16 MB (more recommended if you have multiple applications open)
- Modem: v.32bis 14.4kbps Hayes compatible modem or faster (recommend 28.8kbps)
- Monitor: VGA or above
- Mouse or other pointing device

Minimum Software Requirements

- Operating System: Microsoft Windows 95/98
- PPP (Point-to-Point Protocol) Dialer:Use the Dial-Up Networking feature included with Windows 95/98
- Web Browser: Netscape Communicator 4.5 is recommended. However, you can also use Netscape Communicator 4.06, 4.07, or 4.05 with JDK 1.1 (Internet web browser software). Note: To download Netscape Communicator 4.5 free of charge, access the Netscape download site at http://home.netscape.com/download/
- PDF Viewer: Adobe Acrobat Reader 3.0 or higher (available at *http://www.adobe.com*)
- If you wish to use the download feature in the Form 175 Review, you will need a .tar file extraction utility, e.g., Winzip (available at *http://www.winzip.com*) or Pkzip for Windows (available at *http://www.hotfiles.com*).

Connecting to the FCC Network

To file or review applications electronically, you must first connect to the FCC Network using Dial-Up Networking.

Parties submitting FCC Form 175 applications will connect to a toll-free 800 number telephone service.

Similarly, parties reviewing FCC Form 175 applications will connect to a 900 number telephone service at a charge of \$2.30 per minute. The first minute of connection time to the 900 number service will be at no charge.

Dial-Up Networking establishes a point-to-point connection from your PC to the FCC Network. *This point-to-point connection is not routed through the Internet*.

For instructions on how to connect to the FCC Network using Dial-Up Networking, see the *Accessing the FCC Network Using Windows 95/98* section, Tab D.

Submitting or Reviewing FCC Form 175 Applications

After you have connected to the FCC Network, start your Netscape Web browser. In your browser's *Location* field, enter one of the following locations:

- For Form 175 Submit, enter *http://wtbwww04.fcc.gov* and then click **Form 175** Submit
- For Form 175 Review, enter *http://wtbwww03.fcc.gov* and then click **Form** 175 Review

When uploading attachments in FCC Form 175 Submission, applicants may use a variety of file formats including Word, WordPerfect, Adobe PDF, Excel, Lotus, and ASCII text and should verify that the files contain all exhibit information. Graphics file uploads (e.g., .bmp, .tiff, .jpg) are not supported, and graphics images should not be imported into any word processing files that are uploaded.

After you upload an attachment, check to see if it converted properly by selecting the PDF filename in the rightmost column. If you are unable to read this file, please do the following:

1. Re-upload the attachment.

2. If the file still has not converted properly, then simplify the formatting of the file. For example, if using a Table structure in a WordPerfect document, remove the Table structure and leave the contents of the table, then re-upload the attachment.

After you have successfully re-uploaded an attachment, please delete the old, unreadable attachment files.

Applicants must press the **Submit Form 175** button on the *Submit* page to successfully submit their FCC Form 175. During each filing period, submitted applications may be updated and amended multiple times until the filing deadline.

When you have finished, exit your Web browser; then disconnect from the FCC Network.

Help

For technical assistance with installing or using FCC software, contact the FCC Technical Support Hotline at (202) 414-1250 (V) or (202) 414-1255 (TTY). The FCC Technical Support Hotline is generally available Monday through Friday, from 8 a.m. to 6 p.m. ET. *All calls to the FCC Technical Support Hotline are recorded*.

GUIDELINES FOR COMPLETION OF FCC FORM 175 AND EXHIBITS

A. FCC Form 175

Because of the significance of the FCC Form 175 application to the auction, bidders should note the following:

Items 2-5: Give a street address (not a Post Office box number) for the applicant, suitable for mail or private parcel delivery. The FCC will send all registration materials and other written communications to the applicant at this address.

Item 8: Applicants must indicate their legal classification. The FCC Form 175 requires the applicant to classify itself as an individual, joint venture, partnership, trust, corporation, consortium, association, limited liability company (LLC) or government entity.

Item 10: Be advised that this is the sole opportunity applicants have to elect small business status and bidding credit level (if applicable), and there is no opportunity to change the election once the short-form filing deadline passes. Applicants are also requested to indicate their status as a rural telephone company, minority-owned business and/or women-owned business, so that the FCC can monitor its performance in promoting economic opportunities for these designated entities.

 Applicants that are small or very small businesses, or consortia of small or very small businesses, should enter the applicable bidding credit in Item 10. Applicants should be aware that this is the sole opportunity that they will have to elect the appropriate bidding credit.

Item 11 (License Selection): Applicants should identify all licenses on which they want to be eligible to bid in the auction. Be advised that there is no opportunity to change this list once the short-form filing deadline passes. The FCC auction system will not accept bids on licenses for which an applicant has not applied on its FCC Form 175.

Item 12: Applicants must list the name(s) of the person(s) (no more than three) authorized to represent them at the auction. Only those individuals listed on the FCC Form 175 will be authorized to place or withdraw bids for the applicant during the auction. Note: Applicants may only share a common authorized bidder(s) if each applicant has selected separate markets. See 47 C.F.R. §1.2105(c).

Certifications: Applicants should carefully read the list of certifications on the FCC Form 175. These certifications help to ensure a fair and competitive auction and require, among other things, disclosure to the Commission of certain information on applicant ownership and agreements or arrangements concerning the auction. Submission of an FCC Form 175 application constitutes a representation by the certifying official that he or she is an authorized representative of the applicant, has read the form's instructions and certifications, and that the contents of the application and its attachments are true and correct. Submission of a false certification to the Commission may result in penalties, including monetary forfeitures, license forfeitures, ineligibility to participate in future auctions, and/or criminal prosecution. Applicants should type the name of the certifying official in the Name of Person Certifying block.

Contact person: If the Commission wishes to communicate with the applicant by telephone or fax, those communications will be directed to the contact person identified on the FCC Form 175. Space is provided for a telephone number, fax number, and e-mail address. All written communication and registration information will be directed to the applicant's contact person at the address specified on the FCC Form 175. Applicants must provide a street address; no P.O. Box addresses may be used.

Completeness: Applicants must submit all information required by the FCC Form 175 and by applicable rules. **Failure to submit required information by the resubmission date will result in dismissal of the application and inability to participate in the auction.** *See* **47 C.F.R. §1.2105(b).**

NOTE: Applicants must press the "Submit Form 175" button on the "Submit" page to successfully submit their FCC Form 175.

Continuing Accuracy: Each applicant is responsible for the continuing accuracy and completeness of information furnished in the FCC Form 175 and its exhibits. *See* 47 C.F.R. §1.65. It is the FCC's position that during the conduct of an auction, thirty business days from a reportable change is a reasonable period of time. Applicants are reminded that Certification 6 on the FCC Form 175 includes consent to be audited.

B. Exhibits and Attachments

In addition to the FCC Form 175 itself, applicants must submit additional information required by the FCC's rules. Although the FCC does not require a particular format for this information, it has developed the following guidelines that will facilitate the processing of short-form applications. The FCC encourages applicants to submit this information using the following format.

When electronically uploading attachments in the FCC Form 175 Submission, applicants may use a variety of file formats including Word, Wordperfect, Adobe PDF, Excel, Lotus, and ASCII text and should verify that the files contain all exhibit information. Graphics file uploads (e.g., .bmp, .tiff, .jpg) are not supported, and graphics images should not be imported into any word processing files that are uploaded.

If you find that an attachment has not converted properly to Adobe PDF format, take the following steps:

- 1. Re-upload the attachment.
- 2. Simplify the formatting of the file. For example, if using a Table structure in a Wordperfect document, remove the Table structure and leave the contents of the table, and then re-upload.

NOTE: Applicants should not list their TIN numbers on any Exhibits to their FCC Form 175s.

Exhibit A -- Applicant Identity and Ownership Information: 47 C.F.R. §1.2105(a)(2)(ii) requires each applicant to fully disclose the real party or parties-in-interest in an exhibit to its FCC Form 175 application. Each member of an applicant applying to bid as very small or small business consortium must provide this information. The following information is required:

1. General Information

| Applicant Status | Required Information |
|----------------------------------|--|
| General Partnership | Name, citizenship, and address of all partners, and the share or interest participation of each partner. |
| Limited Partnership | Name, citizenship, and address of all general partners and each limited partner whose interest in the applicant is equal to or greater than 10 percent (as calculated according to the percentage of equity paid in and the percentage of distribution of profits and losses). |
| Corporation | Corporate name and address; and name, title, and citizenship of a responsible officer or director. |
| Limited Liability Corporation | Corporate name and address; and name, address, and citizenship of all members. |
| Trust | Name, citizenship, and address of trustee. |
| None of the above | Name, citizenship, title or other relation to the applicant, and address of a principal or other responsible person. |

2. Ownership Information

Applicant Status Required Information

All applicants Name, citizenship, and address of all attributable interests of the

applicant as defined in Section 24.709.

All applicants Name, citizenship, and address of all parties holding 10

percent or more of each class of stock, warrants, options or debt securities and the amount and percentage held

All applicants Name of all parties holding a 10 percent or greater interest in

the applicant and the specific amount held

All applicants

List of any FCC-licensed entity or applicant for an FCC

license, in which

a. the applicant;

b. any party with a 10 percent or greater interest in the

applicant; or

c. an attributable interest holder (as defined in Section 24.709 of the Commissions Rules) of the applicant owns a 10 percent or greater interest or 10 percent or more of any class of stock, warrants, options or debt securities. This list must include a description of each such entities' principal business and a description of each such entities' relationship

to the applicant.

Example of a. The applicant owns 10 percent of Company A (an FCC-licensed entity or an applicant for an FCC license). The applicant must list Company A on its Form 175 Exhibit A and provide the required information.

Example of b. Company A owns 10 percent of the applicant and 10 percent of Company B (an FCC-licensed entity or an applicant for an FCC license). The applicant must list both Company A and Company B on its Form 175 Exhibit A and provide the required information.

Example of c. A owns 55% of the applicant and owns 10 percent of Company B (an FCC-licensed entity or an applicant for an FCC license). The applicant must list both A and Company B on its Form 175 Exhibit A and provide the required information.

All applicants

List of all parties holding indirect ownership interests in the applicant as determined by successive multiplication of the ownership percentages in each link of the vertical ownership chain, that equals 10 percent or more of the applicant, except that if the ownership percentage for any link in the chain exceeds 50 percent or represents actual control, it shall be reported as if it were a 100 percent interest.

Example. A owns 10% of Company B, which owns 60% of Company C, which owns 25% of the applicant. Company B's interest in the applicant would be 25% (the same as Company C's interest since Company B's interest in Company C exceeds 50%), and A's interest in the applicant would be 2.5% (0.1 * 0.25). Under the 10% attribution benchmark, Company B's interest in the applicant must be reported on the applicant's FCC Form 175 Exhibit A, while A's interest in the applicant need not be reported. However, if A owned 40% of Company B in the above example, then A's interest in the applicant would be 10% (0.4 * 0.25), and the applicant would need to report it on the applicant's FCC Form 175 Exhibit A.

Exhibit B -- Agreements with Other Parties/Joint Bidding Arrangements: Applicants must attach an exhibit identifying all parties with which they have entered into any agreements, arrangements or understandings which relate in any way to the licenses being auctioned, including any relating to the post-auction market structure. *See* 47 C.F.R. §1.2105(a)(2)(viii).

Be aware that pursuant to Certification (4) on the FCC Form 175, the applicant certifies that it will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in the application regarding bid amounts, bidding strategies, or the particular licenses the applicant will or will not bid. See 47 C.F.R. §1.2105(a)(2)(ix). To prevent collusion, the Commission's Rules generally prohibit communication among applicants for the same license areas between the initial short-form applications filing deadline and the down payment on licenses won, when such communications concern bids, bidding strategies, or settlements. 47 C.F.R. §1.2105(c).

Exhibit C -- Status as a Very Small Business or Small Business: Applicants claiming status as a very small business or small business must attach an exhibit providing the following information regarding this status.

Entity Required Information

Applicant Average gross revenues for the preceding three years set forth both separately (e.g., for each of the three preceding three years individually) and in the aggregate (for all of the

preceding three years combined). Certification that the average gross revenues for the preceding three years do not exceed the required limit, or providing average gross revenues for the 3-year period without providing the gross revenues for each of the preceding three years, is **insufficient**.

Applicant's Affiliates see 47 C.F.R. § 1.2110(b)(4)

same information required as discussed above

Applicant's Attributable Interests *see* 47 C.F.R. §24.709

same information required as discussed above

Example. The applicant had gross revenues of \$500,000 in 1995, \$1,000,000 in 1996, and \$3,000,000 in 1997, with average gross revenues for that time period of \$1,500,000. The applicant owns 60% of Company A, making Company A an affiliate of the applicant under Section 1.2110(b)(4)(i)(B), and Company B owns 52% of the applicant (making Company B an attributable interest of the applicant under Section 24.709). The applicant's Exhibit C would look like this:

Applicant Name

| 1996 gross revenues | \$ 500,000 |
|---------------------|--------------|
| 1997 gross revenues | \$ 1,000,000 |
| 1998 gross revenues | \$ 3,000,000 |

Average gross revenues

for the preceding 3 years \$1,500,000

Company A (an affiliate of the applicant)

| 1996 gross revenues | \$ enter amount |
|---------------------|--------------------|
| 1997 gross revenues | \$ enter amount |
| 1998 gross revenues | \$ enter amount |

Average gross revenues

for the preceding 3 years \$ enter amount

Company B (has an attributable interest in the applicant)

1996 gross revenues \$ enter amount

1997 gross revenues \$ enter amount 1998 gross revenues \$ enter amount

Average gross revenues

for the preceding 3 years \$\\$ enter amount

TOTAL: combined average gross revenues for the

preceding three years of the applicant, the applicant's affiliates and their attributable

interests

NOTE: Each member of an applicant that is applying to bid as a consortium of

small or very small businesses must provide this information and qualify

for the claimed status.

Exhibit D -- Information Requested of Designated Entities: Applicants owned by minorities or women, as defined in 47 C.F.R. §1.2110(b), or that are rural telephone companies, may attach an exhibit regarding this status. This information, in conjunction with the information in Item 10, will assist the Commission in monitoring the participation of these "designated entities" in its auctions.

Exhibit E -- Miscellaneous Information: Applicants wishing to submit additional information should include it in Exhibit E.

Exhibit F -- Information Required of All C Block Applicants Regarding Prior Defaults and Prior Delinquencies: Each C block applicant must include at Exhibit F a statement made under penalty of perjury indicating whether or not the applicant has ever been in default on any Commission licenses or has ever been delinquent on any non-tax debt owed to any Federal agency. *See* 47 C.F.R. §§ 1.2105(a)(2)(xi), 24.709(a)(5).

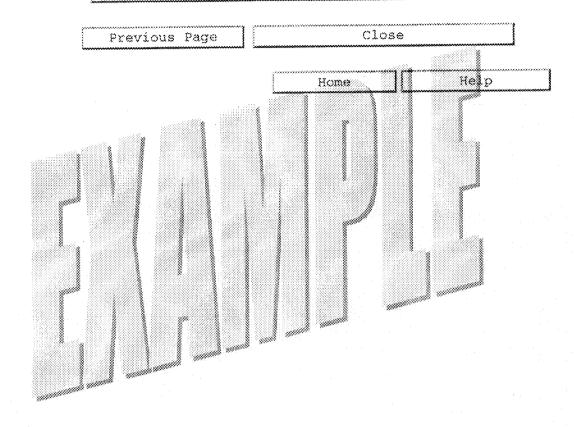
Applicants are reminded that all information required in connection with applications to participate in spectrum auctions is necessary to determine the applicants' qualifications, and as such will be available for public inspection. Required proprietary information may be redacted, or confidentiality may be requested, following the procedures set forth in 47 C.F.R. §0.459. Such requests must be submitted in writing to Amy Zoslov, Chief, Auctions and Industry Analysis Division, Wireless Telecommunications Bureau, Federal Communications Commission, 2025 M Street, N.W., Room 5202, Washington, D.C. 20554,with a separate copy mailed to Audrey Bashkin, Legal Branch, Auctions and Industry Analysis Division. The applicant must also indicate in Exhibit E that it has filed a confidentiality request. Because the required information bears on applicants' qualifications, the FCC envisions that confidentiality requests will not be routinely granted.

Waivers: Applicants requesting waiver of any rules must submit a statement of reasons sufficient to justify the waiver sought. *See* 47 C.F.R. §1.3.

FGC Form 175 Submit

Submit FCC Form 175

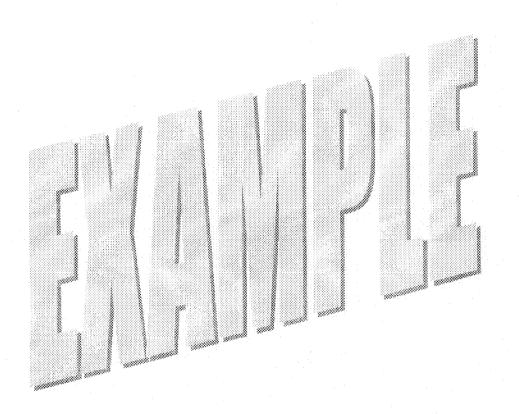
FCC Form 175 Print Preview



Save

Next Page

Help



PROFILE

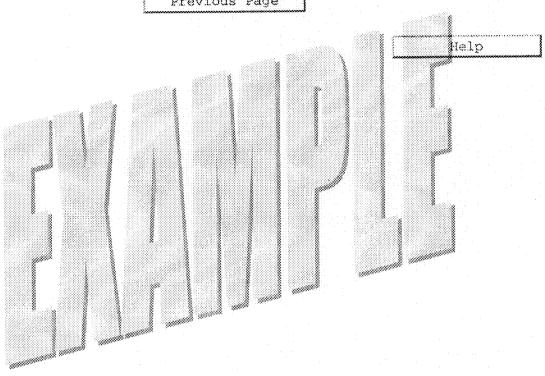
| 1. Applicant | | |
|--|-----------------------|----------------------|
| Woodland Company | | |
| 2. Mail Address (No P.O. Boxes) | | |
| 123 Hometown Road | | |
| | | |
| 3. City | 4. State | 5. ZIP Code |
| Hometown | DC V | 20554 |
| E-Mail Address | 6. Auction No. | FCC Account No. |
| | 22 | |
| 8. Applicant Classification | | dicant Status |
| - E | tnership 📝 Sma | di Business |
| | According. | ▼ % Bidding Credit |
| | · circon | t y |
| ○ Association ○ LLC ○ Go | vt. Entity | al telephone company |
| 9. Reserved for FCC Use Only | | ority owned business |
| 7. Reserved for the Cose only | | nan owned business |
| | | e of the above |
| | | |
| | | |
| 12. Person(s) authorized to make or withdraw | a bid | |
| (a)(First Name, Middle Initial, Last Name) | | |
| John Buck | | |
| (b)(First Name, Middle Initial, Last Name) | | |
| Jane H Doe | | |
| (c)(First Name, Middle Initial, Last Name) | | |
| | | |
| Name of Person Certifying | | |
| John Buck | | |
| Title of Person Certifying | | |
| Partner | | |
| Contact Person | | |
| John Buck | | |
| Telephone No. | Initial Submission Da | ite |
| (999)123-1234 | | |
| Fax No. | Resubmission Date | |
| (999)123-1235 | acenningini lak | |
| The second secon | L | |

ATTACHMENTS (INCLUDING EXHIBITS)

Add New Attachment

Current Attachment List

Previous Page



REQUIRED CERTIFICATIONS

I certify the following:

- (1) that the applicant is legally, technically, financially and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.
- (2) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application (see Instructions for certification), which provide that someone other than the applicant shall have an interest in the license.
- (3) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.
- (4) that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies on the particular license on which the applicant or other parties will or will not bid.
- (5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.
- (6) that, if applicant status is claimed in block 10 the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.
- (7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.
- (8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

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March 20, 1999

Exhibit A: Applicant Identity and Ownership Information

John Buck (50%) and Jane Doe (50%) U.S. Citizen U.S. Citizen 123 Any Street 456 Some Road

Anytown, USA 99999 Anytown, USA 99999

We hereby certify that we are a 50/50 partnership. There are no other partners.

Exhibit B: Agreements with Other Parties/Joint Bidding Arrangements

We wish to disclose that we have discussed the upcoming auction with another bidder, XYZ, Inc of Anytown, USA, who will be bidding on a license in the same BTA that we intend to bid in.

Exhibit C: Status as a Very Small Business

The partnership of John Buck and Jane Doe certify that they qualify as a very small business. The applicant is a new entity and there have been no gross revenues to date. The aggregated gross revenues for the partners from other business interests for the past three years totals \$535,000.00. A breakdown of the aggregated gross revenue is as follows:

John Buck Jane Doe

Gross Revenues for John Buck Gross Revenues for Jane Doe

 1998: \$200,000
 1998: \$120,000

 1997: \$ 30,000
 1997: \$ 40,000

 1996: \$ 50,000
 1996: \$ 20,000

The Following Corporation is an S Corporation, 100% owned and controlled by John Buck. Address same as Exhibit A above:

ABC Enterprises, Inc.

1998: \$60,000 1997: \$ 7,000 1996: \$ 8,000

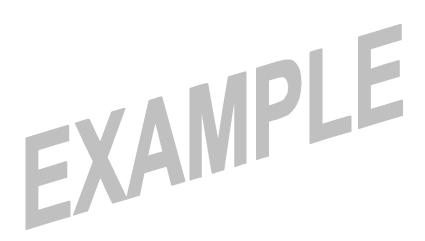
Exhibit D: Information Requested of Designated Entities

The applicant is a minority-owned Designated Entity.

Exhibit E: Miscellaneous: [Applicants wishing to submit additional information may include it in Exhibit E.]

*Exhibit F: Information Required on All C block Applicants Regarding Prior Default and Prior Delinquencies

I certify the following:



AUCTION-SPECIFIC INSTRUCTIONS FCC REMITTANCE ADVICE, FCC FORM 159

UPFRONT PAYMENTS

The following information supplements the standard instructions for FCC Form 159, and is provided to help ensure correct completion of FCC Form 159 for upfront payments for the C, D, E, and F Block Broadband PCS auction (Auction No. 22). Applicants need to complete FCC Form 159 carefully, since:

- Mistakes may affect their bidding eligibility; and
- Lack of consistency between information set forth in FCC Form 159, FCC Form 175, long-form application, and correspondence about an application may cause processing delays.

Therefore appropriate cross-references between the FCC Form 159 Remittance Advice and the FCC Form 175 Short Form Application are described below.

Block Number Required Information

- 1 LOCKBOX # Enter "358410"
- 2 Payer Name Enter the name of the person or company making the payment. If the applicant itself is the payer, this entry would be the same as FCC 175.
- Total Amount Paid Enter the amount of the upfront payment associated with the FCC Form 159.
- 4-8 Street Address, City, State, ZIP Code Enter the street mailing address (not Post Office box number) where mail should be sent to the payer. If the applicant is the payer, these entries would be the same as FCC Form 175, blocks 2 through 5.
- 9 Daytime Telephone Number Enter the telephone number of a person knowledgeable about this upfront payment.
- 10 Country Code For addresses outside the United States, enter the appropriate postal country code (available from the Mailing Requirements Department of the U.S. Postal Service).
- 20A Payment Type Code Enter "APCU"
- 21A Quantity Enter the number "1"

- 22A Amount Due Enter the total upfront payment due.
- 23A FCC Code 1 Enter the number "22" (indicating Auction No. 22).
- Applicant TIN Same as FCC Form 175, block 7.

Notes:

- Do not use Remittance Advice (Continuation Sheet), FCC Form 159-C, for upfront payments.
- If applicant is different from the payer, complete blocks 11 through 18 for the applicant, using the same information shown on FCC Form 175. Otherwise leave them blank.
- Since credit card payments will not be accepted for this auction, leave this area blank.

LICENSES

CURRENT STATUS: 356 OUT OF 356 LICENSES HAVE BEEN SELECTED

| | Add All Markets For All Frequencies | |
|--|--|--|
| | | |
| o add or remove ind | lividual or groups of licenses, do the following: | |
| . Select an available | frequency block/channel. | |
| | | |
| | All Available Frequency Blocks/Channels | |
| | SHOW D LIGENSES [V] | |
| | in a language of the second of | |
| | | |
| . Highlight the licen | ses you wish to add or remove. | |
| | or groups of licenses, hold down | |
| ~ (CONTOUR | ick on the license or groups of licenses. of licenses, hold down the SHIFT key and click | |
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| | x] BTA1\$7 D Salinas, CA x) BTA405 D San Luis Obispo, CA | |
| | [x] BTA406 D Santa Barbara, CA | |
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| Add Select | ed Licenses Remove Selected Licenses | |
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| care a a | | |
| | other licenses or groups of licenses for another frequency to sten 1. | |
| . To add or remove o lock/channel, return | * * | |
| | * * | |
| | * * | |

PROFILE

| 1. Applicant | *************************************** | • |
|--|---|--|
| Woodland Company | | |
| 2. Mail Address (No P.O. Boxes) | | |
| 123 Hometown Drive | | |
| | | |
| 3. City | 4. State | 5. ZIP Code |
| Washington | DC 🔻 | 20554 |
| E-Mail Address | 6. Auction No. | FCC Account No. |
| jbuck@woodland.com | 22 | |
| | 18 A | pplicant Status |
| 8. Applicant Classification | , IPIS | mall Business |
| | utnership 25 | ○ 7 % B idding Credit |
| O Trust O Corporation O C | onsortium Eligil | ······································ |
| O Association O LLC O G | ovi. Entity | Ĭ |
| an le réan a l | | ural telephone company |
| 9. Reserved for FCC Use Only | 00000000000000000000000000000000000000 | linority owned business |
| | ************************************** | onan owned business one of the above |
| | | one to the approx |
| | | |
| 12. Person(s) authorized to make or withdraw | a bid | |
| (a)(First Name, Middle Initial, Last Name) | | |
| John Hark | *************************************** | |
| (b)(First Name, Middle Initial, Last Name) | | |
| Jane N Doe | | |
| (c)(First Name, Middle Initial, Last Name) | | |
| | | |
| Name of Person Certifying | | |
| John Buck | | |
| Title of Person Certifying | | |
| Partner | | |
| Contact Person | | |
| John Buck | *************************************** | |
| Fign. N N | Initial Submission I | Š (o š c) |
| Telephone No. (202) 123-4567 | amum purminguli i | 9at€ |
| Fax No. | | |
| (202) 123-4568 | Resubmission Date | |
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| READ INSTRUCTIONS CAREFULLY BEFORE PROCEEDING | FEDERAL COM | FEDERAL COMMUNICATIONS COMMISSION REMITTANCE ADVICE PAGE NG | | APPROVED BY ONE 3060-056 | | | |
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| 27) CERTIFICATION STATEMENT | SECTION | I E - CERTIFICATION | | |
| I,(PRINT NAME) | , Certify under | penalty of perjury that the fore | going and support | ing information |
| are true and correct to the best of r | nv knowledge, infomation a | and belief. SIGNATURE | | |
| | SECTION F - CREDI | T CARD PAYMENT INFORMAT | | |
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| (25) COMPLETE THIS BLOCK ONLY IF | F SECTION BB IS APPLICA | 3LE | | | | | | | | | |
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ADVICE REFERENCE GUIDE HOW TO USE FCC FORM 159-REMITTANCE ADVICE

The FCC Form 159, "Remittance Advice", and FCC Form 159-C, (Continuation Sheet) is a multi-purpose form that generally accompanies (see chart below for specific instructions) any payment to the Federal Communications Commission (e.g., Regulatory Fees, Processing Fees, Auctions, Fines, Forfeitures, Freedom of Information Act (FOIA) Billings, or any other debt due to the FCC). The information on this form is collected to ensure credit for full payment, to ensure you receive any refunds due, to service public inquiries, and to comply with the Debt Collection Improvement Act of 1996.

| If you are using this form to: | Then: |
|--|--|
| Pay a Regulatory Fee for Private Wireless Services | You must pay your regulatory fee, in addition to your application fee at the time of renewal or at the time the original license application is requested. Consult the appropriate FCC Fee Filing Guide on where to file for this service. |
| Pay a Regulatory Fee for any of the Mass Media, Common Carrier, Cable, International Services, or Commercial Wireless Services | You must submit FCC Form 159 - FCC Remittance Advice. Consult the FCC's Public Notice for specific instructions, and where to file for this service. |
| Pay a Processing Fee for multiple applications filed within the same lockbox with a single remittance | You must submit FCC Form 159, FCC Remittance Advice. Consult the appropriate FCC Fee Filing Guide for where to file for this service. |
| Pay a Processing Fee for a service that does not require a specific FCC Form, (e.g. request for Special Temporary Authority) | You must submit FCC Form 159, FCC Remittance Advice. Consult the appropriate FCC Fee Filing Guide for where to file for this service. |
| Pay for an Auction | You must submit FCC Form 159, FCC Remittance Advice. Consult the FCC Public Notice or Bidder's Package for specific instructions, and where to file for this service. |
| Pay for Fines/Forfeitures, Freedom of Information Act Fees Billings or any other debts | All customers paying for any of these categories must submit a FCC Form 159, FCC Remittance Advice, and a copy of their notice or invoice to the appropriate lockbox. Please refer to the specific instructions accompanying your billing document. Pay to the address designated on the notice or invoice you received. |
| Pay by credit card, money order, or wire transfer | You must submit FCC Form 159, FCC Remittance Advice. |
| Pay by Western Union Quick Collect | You must submit FCC Form 159, FCC Remittance Advice. Consult the appropriate FCC Fee Filing Guide for where to file for this service. |

Note: Fee Filing Guides can be obtained by calling Forms Distribution -- (202) 418-3676 or 1-800-418-3676, or by calling FCC's fax-on-demand -- (202) 418-0177 from the handset of a fax machine.

Instructions For Completing FCC Form 159 & 159-C (Remittance Advice & Continuation Sheet)

NOTE: All required blocks must be completed or it may result in a delay in processing or the return of your application.

(1) **Lockbox No.** - Enter the appropriate six-digit P.O. Box Number as found in either the FCC Fee Filing Guide for the service requested, or as specified in the Public Notice.

SECTION A

- (2) Payer Name Enter the name of the person or company (i.e., maker of the check) making the payment. If using an individual name, enter the last name, first name, and middle initial). If a company, enter the name used commercially. If paying by credit card, enter the name exactly as it appears on your card. (You must also complete Section D- block 25 of the taxpayer information at the bottom of the Form 159.)
- (3) Total Amount Paid Enter the total amount of your remittance.
- (4) **Street Address** (Line 1) The street address or post office box number to which correspondence should be sent.
- (5) **Street Address** (Line 2) This line may be used if further identification of the address is required.
- (6) \mathtt{City} The name of the city associated with the street address given in (4).
- (7) **State** If the payer has a United States mailing address enter the appropriate two-digit state abbreviation as prescribed by the U.S. Post Office. If the payer has a mailing address outside the United States, leave this section blank.
- (8) **ZIP Code** Enter the appropriate five or nine-digit ZIP code prescribed by the U.S. Post Office. If address is foreign, enter the appropriate ZIP (postal) code.
- (9) Daytime Telephone Number Enter the payer's ten-digit daytime telephone number, including area code.

For foreign telephone numbers include the appropriate country dialing access code, as if you were calling from the United States. This daytime number should be the number

where you can be reached during normal business hours.

(10) **Country Code** - This section is for payers who have an address outside the United States of America. Enter the appropriate code here. To obtain country code information, contact the Mailing Requirements Dept. of the U.S. Postal Service.

SECTION B

COMPLETE THIS SECTION IF THE PAYER AND APPLICANT NAMES ARE DIFFERENT.

(11) Applicant Name, which includes Licensees, Regulatees or Debtors - Enter the name (last, first, middle initial) as it appears on the original application or filing being submitted. If this is a company, enter name used commercially. If you are using this form to pay for multiple applicants with a single remittance, each applicant must be listed separately using the continuation sheet - Form 159-C. (If the name is the same as the payer, (block 2), it is not necessary to fill out this section, MOVE TO SECTION C.)

(You must complete Section D - block 26 of the taxpayer information at the bottom of the Form 159.)

- (12) **Street Address** (Line 1) (Same instructions as block 4 above.
- (13) **Street Address** (Line 2) (Same instructions as block 5 above
- (14) City (Same instructions as block 6 above.
- (15) **State** (Same instructions as block 7 above.)
- (16) **ZIP Code** (Same instructions as block 8 above.)

- (17) **Daytime Telephone Number** (Same instructions as block 9 above.)
- (18) **Country Code** (Same instructions as block 10 above.)

SECTION C

- (19) FCC Call Sign/Other Identifier Enter an applicable call sign or unique FCC identifier, if any, as prescribed by the appropriate FCC Fee Filing Guide or Public Notice.
- (20) Payment Type Code (PTC) Enter the appropriate payment type code for the service you are requesting as found in the appropriate FCC Fee Filing Guide or Public Notice. (Incorrect or omitted payment type codes may result in your application or filing being returned to you without further processing.) You are allowed to file multiple actions. There are three ways "multiple actions" are defined. The following examples provide instructions on how multiple actions should be filed when using FCC Forms 159 & 159-C:
- (i) If a single service allows for a quantity of more than one of the same action, as defined in the appropriate Fee Filing Guide or Public Notice, complete Section C. (e.g., if you are filing an ownership report in the mass media services you may pay for both your AM & FM stations using the same payment type code and a quantity of two as long as it can be filed in the same lockbox.) Blocks 23 & 24 are only to be completed when required by Public Notice.
- (ii) If you are filing concurrent actions (not the same actions) in the same lockbox, on the same application, refer to the appropriate Fee Filing Guide or Public Notice for specific instructions as to the number of quantities allowed. Complete Section C. (e.g., you may file a regulatory fee for a CARS license and Broadcast Auxiliary license or you may file a regulatory fee for a mass media service and a common carrier service on the same FCC Form 159 by using the designated payment type codes, and quantities as defined by the Public Notices.) Complete a separate item for each action required. Blocks 23 & 24 are only to be completed when required

by Public Notice.

(iii) If a single Remittance Advice is used to pay for more than one applicant, licensee, regulatee or debtor, for permitted action(s) in the same lockbox, then a Continuation Sheet (159-C) must be completed for each applicant, licensee, regulatee or debtor. (e.g., if you are paying for different applicants submitting separate Domestic 214 Applications in the common carrier services, they can all be filed on one FCC Form 159 as long as they are filed in the same lockbox. Each additional applicant must submit a separate FCC Form 159-C and all required blocks must be completed.

Remember, if any of these applications fall into category (i) or (ii) above, you can follow those instructions as well. Make sure to check the appropriate FCC Fee Filing Guide or Public Notice for any special filing stipulations that may apply.

- (21) **Quantity** Enter the total number of actions required with this submission. Refer to the FCC Fee Filing Guide or Public Notice for information concerning multiple requests.
- (22) **FEE Due for (PTC) in Block 20** Multiply the total quantity by the fee for this payment type code and enter here.
- (23) FCC Code 1 This section is used for special filing codes as required by the Bureau/Office or Public Notice. Do not complete this block unless instructed to do so.
- (24) FCC Code 2 This section is used for special filing codes as required by the Bureau/Office or Public Notice. Do not complete this block unless instructed to do so.

SECTION D

(25) Payer TIN - Enter your Taxpayer Identification Number (TIN) following the pre-printed "0". The taxpayer identification number will either be your Employer Identification Number (EIN) or Social Security Number (SSN) as reported to the Internal Revenue Service.

(26) Applicant TIN - Complete this section only if applicant name in Section B - block 11 is different from payer name in Section A,

block 2. In accordance with the Debt Collection Improvement Act of 1996, you must provide your Taxpayer Identification Number (TIN). This is either your EIN or SSN as defined in item 25. You must provide a TIN for each applicant covered by this filing.

SECTION E

(27) **Certification Statement** - This section must be completed and signed. Failure to do so may delay the processing of your application/filing.

SECTION F

(28) Credit Card Data - If remitting payment by credit card, place an "x" in the appropriate block for the credit card being used - MasterCard or Visa only. Enter your credit card number and expiration date. Sign and date the FCC Form 159 to authorize your credit card payment. (If any area required for credit card approval is incomplete, the application will be returned unprocessed.)

Continuation Sheet Form 159-C

FCC Remittance Advice Continuation Sheet (FCC Form 159-C) - Use this form for any additional services pertaining to this filing, or if you are paying for multiple applicants with a single payment. (See Sections B,C and D of the instructions to assist you in completing this form. For each additional applicant listed in Section BB of the FCC Form 159-C, you must complete Section DD - taxpayer information at the bottom of the continuation sheet. Each additional applicant must use a separate Form 159-C.

Note: Checks must be denominated in $\overline{\text{U.S.}}$ Currency and deposited in a U.S. financial institution. No checks drawn on a foreign bank will be accepted.

NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND THE PAPERWORK REDUCTION ACT

The solicitation of the personal information requested in this form is authorized by the Communications Act, Sections 8 & 9, and other debts under the Debt Collection Improvement Act of 1996. P.L. 104-134. The form will be used primarily to capture information to maintain required accounts receivable, and collect fines and debts due the Commission. As part of the Debt Collection Improvement Act, agencies are authorized to refer Taxpayers Identification information which includes Employer Identification Numbers and Social Security Numbers to the Department of Treasury for further investigation and possible enforcement of a statute, rule, regulation or order. If we believe there may be a violation or potential violation of a FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party to a proceeding before the body or has an interest in the proceeding. If information requested on the form is not provided, processing of the application/filing may be delayed or returned without action pursuant to Commission rules.

If you owe a past due debt to the Federal Government, the taxpayer identification number (such as your social security number) and other information you provide may also be disclosed to the Department of the Treasury, Financial Management Service, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized

We have estimated that each response to this collection of information will

take, on average, 30 minutes. estimate includes the time to read the instructions, look through existing records, gather and maintain required data, and actually review and complete the form. If you have any comments on this estimate, or on how we can improve the collection of this data to reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Washington, DC 20554, Paperwork Reduction Project (3060-0589). We will also accept your comments via the Internet if you send them to jboley@fcc.gov. Please DO NOT SEND COMPLETED APPLICATION FORMS TO THIS ADDRESS.

Remember -- You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0589.

This notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a(e) (3) and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.

FCC REMOTE BIDDING SOFTWARE ORDER FORM:

The Broadband Personal Communications Services (PCS) Auction

All qualified bidders who plan to participate electronically in the auction bidding process utilizing the FCC Remote Bidding Software must complete the form below. Further, while bidders are free to copy the software for use by authorized bidders at different locations, the FCC auction system will accept electronic bids only from bidders who have purchased the software. (Since bidding software is tailored to a specific auction, software from prior auctions will not work for Auction 22.)

The price of the FCC Remote Bidding Software is \$175.00, including a user manual and shipping. To order, complete the form below and return no later than 5:30 p.m. ET on **Tuesday, March 9, 1999**, to:

FCC Bidding Software, Auction No. 22 Auction Operations Branch 1270 Fairfield Rd. Gettysburg, PA 17325-7245

FAX: 717-338-2850

For security purposes, software packages will be sent with other registration materials only to the contact person at the applicant address specified on the FCC Form 175. NO EXCEPTIONS.

| Auction applicant: | | |
|-----------------------------|----------------|-----------------------------|
| FCC Assigned Accou | nt No | Phone: |
| Fax: | | Fax Modem No |
| Payment of \$ 175.00 | | enclosed (payable to "FCC") |
| Type: | □ 3.5 diskette | □ CD-Rom |
| Credit card number _ | | Expiration date |
| Name on card | | |
| Authorized signature | | Date |